

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICATION	
	CLASS	SUBCLASS	EXAMINER	ART UNIT
10873.0435USD2			Nabil Z. Hindi	

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV321727110US

Date of Deposit: October 2, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Teresa Anderson
Name: Teresa Anderson

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 10/183,136 filed on June 25, 2002.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.



Dated: October 2, 2003

DPM:mmm

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

By

A handwritten signature in black ink, appearing to read "D. Mueller", written over a horizontal line.

Douglas P. Mueller
Reg. No. 30,300

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 10873.0435USD2	Application Number: filed here within
	Applicant: SAIMI et al.	
	Filing Date: filed here within	Group Art Unit: n/a

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5,120,128	June 1992	Ulich et al.				
	5,126,996	June 1992	Iida et al.				
	5,416,757	May 1995	Luecke et al.				
	5,793,735	Aug. 1998	Oono				
	5,815,293	May 1995	Komma et al.				
	5,818,643	Oct. 1998	Fujioka				
	5,838,651	Aug. 1996	Takahashi				
	5,946,137	Feb. 1996	Momoo et al.				
	6,111,842	Jan. 1998	Nishino et al.				
	6,327,231	June 1997	Sano et al.				
	6,069,860	Nov. 1997	MIZUNO et al.				
	6,185,176	May 1998	SUGIURA et al.				
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	10-289470	Oct. 1998	Japan				
	2001-507463	June 2001	Japan				
	517,491	Dec. 1992	EPO				
	5-282705	Oct. 1993	Japan				
	545,713	June 1993	EPO				
	720,159	July 1996	EPO				
	762,403	Sept. 1996	EPO				
	765,015 A1	March 1997	EPO				
	807,928	May 1996	EPO				
	844,606	May 1998	EPO				
	99/18466	April 1999	WIPO				

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

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	Filing Date: filed here within	Group Art Unit: n/a

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	01/1997	Numerical-Aperture Two-Element Objective Lens for the Optical Disk, YAMAMOTO et al., Jpn. J. Appl. Phys. Vol. 36 (1997) pp. 456-459

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	